

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: December 31, 2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. cores

No	Name of the Security	COI	Face Value' Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter '1</u>	EPBT							
1	8.60% STEEL AUTHORITY OF INDIA LTD 2019	EPBT	10.00	3-Feb-2010	CRISIL	AA+	AA	31-Dec-2016	
2	8.75% STEEL AUTHORITY OF INDIA LTD 2020	EPBT	15.00	29-Apr-2010	CRISIL	AA+	AA	31-Dec-2016	
3	8.72% STEEL AUTHORITY OF INDIA LTD 2020	EPBT	10.00	30-Apr-2010	CRISIL	AA+	AA	31-Dec-2016	
4	9.35% STEEL AUTHORITY OF INDIA LTD 2026	EPBT	5.00	20-Sep-2011	CRISIL	AA+	AA	31-Dec-2016	
5	9.30% STEEL AUTHORITY OF INDIA LTD 2018	EPBT	5.00	4-Jun-2012	CRISIL	AA+	AA	31-Dec-2016	
6	9.18% STEEL AUTHORITY OF INDIA LTD 2017	EPBT	5.00	4-Sep-2012	CRISIL	AA+	AA	31-Dec-2016	
B.	<u>As on Date</u>								
1	10.10% Tata Power Co. Ltd 2018	IODS	15.00	24-Apr-2008	CRISIL	AA	AA-	17-Oct-2013	
2	9.40% Tata Power Co. Ltd 2022	IODS	5.00	9-Apr-2013	CRISIL	AA	AA-	17-Oct-2013	
3	9.55% Hindalco Ltd 2022	OLDB	5.00	30-Apr-2012	CRISIL	AA	AA-	31-Jul-2015	
4	9.60% Hindalco Ltd 2022	OLDB	5.00	5-Sep-2012	ICRA	AA	AA-	31-Jul-2015	
5	9.20% Central Bank of India 2017	OBPT	5.00	3-Mar-2008	CRISIL	AAA	AA-	14-Oct-2014	
6	9.35% Central Bank of India 2018	OBPT	5.00	10-Feb-2009	CRISIL	AAA	AA-	14-Oct-2014	
7	9.25% IPCA LABORATORIES LTD 2017	OLDB	2.50	20-Dec-2012	CRISIL	AA	AA-	28-Jun-2016	
8	9.40% Vedanta Ltd.	EPBT	5.00	30-Oct-2012	CRISIL	AA+	AA-	27-Jan-2016	
9	9.17% STERLITE INDUSTRIES (I) LTD 2023	EPBT	5.00	9-Jul-2013	CRISIL	AA+	AA-	27-Jan-2016	
10	8.60% STEEL AUTHORITY OF INDIA LTD 2019	EPBT	10.00	3-Feb-2010	CRISIL	AA+	AA	31-Dec-2016	
11	8.75% STEEL AUTHORITY OF INDIA LTD 2020	EPBT	15.00	29-Apr-2010	CRISIL	AA+	AA	31-Dec-2016	
12	8.72% STEEL AUTHORITY OF INDIA LTD 2020	EPBT	10.00	30-Apr-2010	CRISIL	AA+	AA	31-Dec-2016	
13	9.35% STEEL AUTHORITY OF INDIA LTD 2026	EPBT	5.00	20-Sep-2011	CRISIL	AA+	AA	31-Dec-2016	
14	9.30% STEEL AUTHORITY OF INDIA LTD 2018	EPBT	5.00	4-Jun-2012	CRISIL	AA+	AA	31-Dec-2016	
15	9.18% STEEL AUTHORITY OF INDIA LTD 2017	EPBT	5.00	4-Sep-2012	CRISIL	AA+	AA	31-Dec-2016	

Dated: February 13, 2017

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04